



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/014,914	10/22/2001	Justin R. Morris	ARK007/96868B	4208

7590

09/10/2002

HEAD, JOHNSON & KACHIGIAN  
Suite 230  
112 West Center Street  
Fayetteville, AR 72701-6036

EXAMINER

GELLNER, JEFFREY L

ART UNIT

PAPER NUMBER

3643

DATE MAILED: 09/10/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/014,914

Applicant(s)

MORRIS ET AL.

Examiner

Jeffrey L. Gellner

Art Unit

3643

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 08 August 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☒ Claim(s) 23-26 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

PETER M. POON  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3600

*fnp*

## Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 6.

- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

## **DETAILED ACTION**

Acknowledgement is made of Applicant's IDS entered 30 January 2002.

### ***Election/Restrictions***

Acknowledgement is made of the election of Group I (Claims 1-26) in paper no. 8, entered 14 August 2002. The election is made final. As requested, Claims 27-37 have been cancelled.

### ***Specification***

The disclosure is objected to because of the following informality:

The "Cross-Reference to Related Applications" section should be updated to show that 08/950,301 is not US Patent no. 6,374,538 B1.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 5-14, and 16-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Olmo et al. (US 3,606,741) in view of Pellenc (EP 0053570 A1).

Art Unit: 3643

As to Claim 1, Olmo et al. discloses a shoot and fruit thinner (Figs. 1-8) comprising a draft vehicle (51 of Fig. 1) with an associated mobile power unit (col. 4 lines 35-36); a frame (61 and 62 of Fig. 1) supported by the vehicle, the frame having at least one rotary tool (63 or 64 of Fig. 1) depending therefrom, the tool adapted to selectively thin fruit (col. 2 lines 14-20). Not disclosed is the tool powered by the power unit and controls associated with the power unit for selectively manipulating the tool. Pellenc, however, discloses a shoot/fruit thinner powered by the power unit (Fig. 2) and controls associated with the power unit (inherent in hydraulics (12) controlled by unit (4) in Fig. 2). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the thinner of Olmo et al. by having the tool powered and controlled by the power unit as disclosed by Pellenc so as to allow for greater control of the tool when the unit is in motion.

As to Claim 2, Olmo et al. as modified by Pellenc further disclose the tool supported by an articulating arm (10 of Figs. 2 and 9 of Pellenc).

As to Claim 3, Olmo et al. as modified by Pellenc further disclose a circular disc with a plurality of radially spaced apart fingers (16 of Fig. 2 of Pellenc).

As to Claim 5, the limitations of Claim 2 are disclosed as described above. Not disclosed is an oval chain with the plurality of spaced apart fingers. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to use a chain to drive tools. It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by using a oval chain drive so as to have a drive mechanism which can be fixed quickly.

Art Unit: 3643

As to Claim 6, the limitations of Claim 1 are disclosed as described above. Not disclosed is the frame pivotally secured to the vehicle. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to have a frame use a chain to drive tools. It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by having the frame which is pivotal so that the thinner can adjust to vines of varying height.

As to Claim 7, Olmo et al. as modified by Pellenc further disclose the arm having upper and lower arms (region around 33 and leadline of 10 are upper and lower arms, respectively, Fig. 9 of Pellenc).

As to Claims 8 and 10, Olmo et al. as modified by Pellenc further disclose flexible 1<sup>st</sup> and 2<sup>nd</sup> fingers (Fig. 9 of Pellenc).

As to Claims 9 and 11, the limitations of Claims 8 and 10 are disclosed as described above. Not disclosed is the finger made of plastic. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to have a appendages made of plastic. It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by having the fingers made of plastic so as to reduce the cost of production.

As to Claim 12, Olmo et al. as modified by Pellenc further disclose a guide wheel (56 of Fig. 1 of Olmo et al.) adapted to roll along a central wire on a trellis.

As to Claim 13, Olmo et al. as modified by Pellenc further disclose the tool supported by an articulating arm (10 of Figs. 2 and 9 of Pellenc).

Art Unit: 3643

As to Claim 14, Olmo et al. as modified by Pellenc further disclose a circular disc with a plurality of radially spaced apart fingers (16 of Fig. 2 of Pellenc).

As to Claim 16, the limitations of Claim 11 are disclosed as described above. Not disclosed is an oval chain with the plurality of spaced apart fingers. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to use a chain to drive tools. It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by using a oval chain drive so as to have a drive mechanism which can be fixed quickly.

As to Claim 17, the limitations of Claim 13 are disclosed as described above. Not disclosed is the frame pivotally secured to the vehicle. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to have a frame use a chain to drive tools. It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by having the frame which is pivotal so that the thinner can adjust to vines of varying height.

As to Claim 18, Olmo et al. as modified by Pellenc further disclose the arm having upper and lower arms (region around 33 and leadline of 10 are upper and lower arms, respectively, Fig. 9 of Pellenc).

As to Claim 19, Olmo et al. as modified by Pellenc further disclose flexible 1<sup>st</sup> and 2<sup>nd</sup> fingers (Fig. 9 of Pellenc).

As to Claims 20, the limitations of Claim 19 are disclosed as described above. Not disclosed is the finger made of plastic. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to have a appendages made of plastic. It

Art Unit: 3643

would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by having the fingers made of plastic so as to reduce the cost of production.

As to Claim 21, Olmo et al. as modified by Pellenc further disclose flexible 1<sup>st</sup> and 2<sup>nd</sup> fingers (Fig. 9 of Pellenc).

As to Claim 22, the limitations of Claim 21 are disclosed as described above. Not disclosed is the finger made of plastic. Examiner takes official notice that it is old and notoriously well known in the farm machinery art to have appendages made of plastic. It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the thinner of Olmo et al. as modified by Pellenc by having the fingers made of plastic so as to reduce the cost of production.

Claims 4 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Olmo et al. (US 3,606,741) in view of Pellenc (EP 0053570 A1) in further view of Mead et al. (US 4,383,400).

As to Claim 4, the limitations of Claim 2 are disclosed as described above. Not disclosed is the tool a circular brush. Mead et al., however, discloses a tool as a circular brush (26 of Fig. 1). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the tool of Olmo et al. as modified by Pellenc by having the tool a circular brush as disclosed by Mead et al. so that the thinner could reposition the shoots left on the vine.

As to Claim 15, the limitations of Claim 13 are disclosed as described above. Not disclosed is the tool a circular brush. Mead et al., however, discloses a tool as a circular brush (26 of Fig. 1) adapted to be rotated by the power unit. It would have been obvious to one of

Art Unit: 3643

ordinary skill in the art at the time of the invention to modify the tool of Olmo et al. as modified by Pellenc by having the tool a circular brush as disclosed by Mead et al. so that the thinner could reposition the shoots left on the vine.

*Allowable Subject Matter*

Claims 23-26 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

*Conclusion*

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Morris et al. ('538 B1), Deux et al., Peterson et al., Oldridge, Opp, Christie et al., Scott, DE 19713452 A1, DE 4103915 A1, and Childers et al. disclose in the prior art various thinning devices.

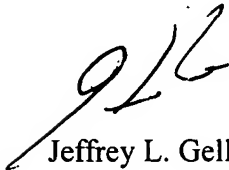
Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Jeffrey L. Gellner whose phone number is 703.305.0053. The Examiner can normally be reached Monday through Thursday from 8:30 am to 4:00 pm. The Examiner can also be reached on alternate Fridays.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Peter Poon, can be reached at 703.308.2574. The fax phone numbers for the Technology Center where this application or proceeding is assigned are 703.305.7687, 703.305.3597, and 703.306.4195.

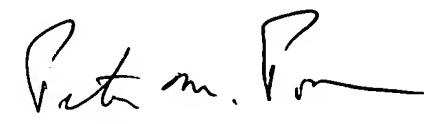


Art Unit: 3643

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703.308.1113.



Jeffrey L. Gellner



PETER M. POON  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3800